Exhibit A

Competency Assessment of Diante Dancy Robert M. Galatzer-Levy, M.S., M.D. January 28, 2016

- I, Robert M. Galatzer-Levy, M.S., M.D., do state under penalty of perjury:
- 1. I have been retained by counsel for Nicole Harris to provide information about the mental status and competency to testify of Diante Dancy and to recount and convey his observations at and around the time of his brother's death. This affidavit describes my conclusions regarding that issue as well the bases for those conclusions, to which I would testify if called as a witness. The opinions expressed in this affidavit are provided with a reasonable degree of psychiatric certainty based on the information available to me at the time of its preparation.

Qualifications

2. I am a child, adolescent and adult psychiatrist who holds an appointment as Clinical Professor of Psychiatry and Behavioral Neurosciences at the University of Chicago and a faculty appointment at the Chicago Institute for Psychoanalysis. I received my medical training at Washington University in St. Louis and was trained in adult and child psychiatry at the University of Chicago and child, adolescent and adult psychoanalysis at the Chicago Institute for Psychoanalysis. I am certified by the Board on Professional Standards of the American Psychoanalytic Association. My primary professional activity is the treatment of patients. I have co-authored five books and more than 100 professional book chapters and articles in my field. A copy of my *curriculum vitae* is attached hereto as Exhibit A.

3. I have extensive experience in providing psychiatric evaluations of children for the courts. At various times, I have been retained as an expert, performed evaluations for, and testified before the Cook County Domestic Relations Court, the Cook County States Attorney, the Office of the Public Guardian, the Illinois Department of Children and Family Services, the McHenry County Sheriff's Department, the Lake County Public Defender as well as numerous private attorneys. I have been qualified as an expert witness in my field by numerous courts in Cook, Lake, DuPage and McHenry Counties as well as by the United States District Court for the Northern District of Illinois.

Evaluation – Background and Techniques

- 4. I interviewed Diante during two approximately 50 minute sessions on April 11 and 15, 2006. I also reviewed case law and statutes regarding Illinois' current witness competency requirements; police reports of an interview of Diante conducted on May 15, 2005; DCFS reports in the matter and transcripts of portions of Ms. Harris's trial including a transcript of the court's hearing and ruling regarding Diante's competency, as well as transcripts of portions of the testimony of Nicole Harris, Sta-Von Dancy, Wanda Harris and Audrey Harris. A list of these documents is attached as Exhibit B. In addition, I reviewed pertinent psychological and psychiatric research literature concerning children's competency to testify and the techniques appropriate to the psychiatric and forensic interviewing of children.
- 5. My initial evaluation of Diante was designed to determine a) whether Diante was capable of expressing himself concerning the matter of his brother's death so as to be understood, either directly or through interpretation, by one who can understand him and b) whether he was capable of understanding the duty of a witness to tell the truth. This evaluation

was conducted using methods that are widely accepted in the field of psychiatry and that have been available for many years. My current evaluation is designed to opine about whether standards for forensic evaluation and interviewing of children that were current at the time following Jaquari's death were followed in this case.

- 6. Consistent with current professional views about forensic interviews of children and with then current professional views about forensic interviews of children, and best practices in the field, I videotaped entirely both interviews of Diante as had been my practice for three years, where permitted by the parents and by the court. I videotaped my interviews whenever possible because note-taking can be alarming to some children and because only by recording the interview can I accurately and completely capture the exact words and expression of the child interviewee. In the kinds of evaluations I am often called upon to make, children's lives and their family's lives may be in the balance and it is absolutely critical that I not make any recommendations to the court based on misinformation caused by the frailty of my memory, my inadequacies as a note-taker, or other factors that might limit the accuracy of the information provided to the court about what the child may have said or communicated. Studies have shown that when trained interviewers attempt to reconstruct the substance of interviews of children from memory or even from notes taken during the interview, they often make critical mistakes, including transposing the voice of the speaker (attributing a comment made by the interviewer to the interviewee and vice versa) and other mistakes that can alter the substance of what the child said.
- 7. Consistent with current (and then current) professional views regarding proper assessment of witness competency, my interviews focused on the specific capacities identified in statute and case law as relevant to the determination of competency to testify. Although other

matters were discussed in the course of the evaluation, the evaluation was primarily focused on these specific capacities and was not intended to assess other capacities or features of the child's personality.

- 8. Consistent with current (and then current) professional views about forensic interviews with children, throughout my evaluation I carefully explored whether Diante had been coached or otherwise influenced to give certain responses. Diante repeatedly denied that he had spoken about the content of the evaluation with any adult. Specifically, he denied that the aunt who brought him to the interview had discussed even the nature of the interview with him or that he had spoken about the interview with his father. I was further unable to detect any use of language that was unusually adult in content such as commonly occurs when children have been coached. As discussed more fully below, during the course of the interview, Diante spontaneously began talking about the death of his brother, Jaquari. Moreover, the material he offered did not appear to be organized in a manner consistent with his having been coached to produce it. Thus, it is my opinion that Diante had not been coached in preparation for my evaluation.
- 9. My interviews with Diante were also carried out in a manner consistent with current (and then current) professional views regarding the forensic psychiatric interviewing of children and views that were current at the time of the interviews. In addition to standard interviewing technique (establishing a reasonable level of rapport and comfort with the interviewee, avoiding suggesting answers to the interviewee, asking follow-up questions to elicit additional detail, asking for clarification when responses are unclear, eliciting pertinent information in several different ways), the forensic psychiatric interview of a child includes special attention to the youngster's cognitive capacities as well as understanding of language and

threats to validity that might result from the child having been deliberately or inadvertently prompted or coached to give certain answers. Forensic techniques also emphasize that a child understand that an answer of "I don't know" is perfectly acceptable and, indeed, required when the child is asked a question to which he does not know the answer.

10. With regard to a child's cognitive capacities, children of Diante's age (six years old) generally cannot think in abstractions although they may have an excellent functional knowledge of a given matter. In particular, most children of Diante's age cannot answer an abstract question regarding the difference between the truth and a lie in a manner consistent with adult thinking about this difference, but they can demonstrate their understanding of the difference by indicating an awareness of the anticipated social response to their making truthful or false statements and by answering questions about whether a person would be telling the truth or lying in a particular circumstance. For example, a child might be unable to state abstractly the difference between the truth and a lie but readily state that he anticipated being rewarded for telling the truth and punished for lying. Such a child is also often able to correctly answer such questions as "Would someone be telling the truth or lying if they said they can walk on the ceiling?" An example of this appears in the both interviews sessions conducted with Diante – though Diante may answer "no" to the abstract question of whether he knows the difference between the truth and a lie, he demonstrates complete functional understanding of the concepts by correctly answering a number of example questions. In sum, in forensic interview settings, care must be taken not to be misled by a child's seemingly confused answer to an abstract question. Rather, children must be questioned in a manner consistent with their cognitive capacities and developmental state.

11. Similarly, careful attention must be paid in forensic interview settings to using language that a child can understand, ensuring that the child did actually understand the language used, and ensuring that the evaluator accurately understands what the child has said. This was and is widely regarded as essential in forensic interviews of children because children commonly use words in slightly unconventional ways, are often unfamiliar with adult vocabulary and sentence structure and may indicate that they understand what is said out of politeness rather than because they actually do understand. These considerations are particularly important when a Caucasian interviewer interviews an African-American child like Diante whose English, in addition to being age-appropriate, may have usage and vocabulary that does not fully overlap with that of the Caucasian interviewer. I observed all of these precautions in my interviews in this case.

<u>Diante's Capability to Express Himself Concerning the Events Surrounding his Brother's</u> <u>Death</u>

- 12. In the course of my evaluation, Diante amply demonstrated his capacity to differentiate between things he had actually observed and things he had not observed.

 Furthermore, although his testimony in court may have indicated that he appeared to attribute "reality" to things and situations that most adults would regard as unreal, during my evaluation his opinions on these matters were clear and conformed well to adult views. It is most likely that Diante was better able to exhibit these capacities during our forensic interview than during his court testimony because of the manner in which the questions were posed to him.
- 13. Diante was also able to clearly articulate during my evaluation what he observed concerning the circumstances surrounding his brother's death. His attempts to describe the

sequence of events were sometimes confused, as would be typical of a child of Diante's age, and the language he uses is not always the same as the language an adult would use. However, he clearly articulated what he observed, including that "Jaquari killed his own self," that Jaquari wrapped a sheet around his neck (indicating physically with his hands what he saw), that Diante's mother and father were not in the room when this occurred and that although a cousin had told Diante that his mommy killed Jaquari, Diante knew this to be untrue. Diante recalls surrounding details as well, such as his parents trying to find an ambulance, his parents leaving Diante alone in the house while they looked for help and his parents trying to locate the hospital.

children. Children of Diante's age can make clear and accurate distinctions between events that they actually witnessed and those they did not witness; they can differentiate imaginary from actual events. However, they almost always conceptualize making these distinctions in a different way from the manner in which adults conceptualize making such distinctions. Adults tend to conceptualize things that they themselves witness as "real," referring to a highly abstract concept of truly existing. Children of Diante's age, however, typically do not employ such abstractions in their thinking. Questions about whether something is "real" will ordinarily be translated by a child at this age into a question regarding whether a particular item or occurrence comports with the child's experience. For example, when confronted with the question of whether Spiderman is "real" during his competency hearing, Diante evidently translated the question in terms of his experience to a question concerning whether the movie involved human actors as opposed to the cartoon representation of the same figure. In contrast, during our

interviews, Diante made it extremely clear that although he was very interested in the imaginary character of Spiderman, he knew full well that this character was not an actual person.¹

- 15. Even if Diante had mistaken beliefs about the reality of fictional characters, the immaturity of psychological function that this failing would indicate is unrelated to his capacity to accurately report what he himself has observed. Thus, for example, Diante's stated beliefs in the existence of Santa Claus and the tooth fairy, which beliefs are not unusual in a child this age and likely have been encouraged by adults, have no bearing, from a psychological point of view, on his capacity to express himself concerning an event he has witnessed.
- during the hearing and to this examiner, that he had "seen" his brother in heaven following his death. Religious experiences such as this are extremely common in both children and adults. In some studies, as many as 40% of American adults have reported literally having heard the voice of God. A large number of psychologically normal children report religious experiences. The presence of such religious experiences is therefore not associated with incapacities regarding the ability to differentiate actual observations from distorted perceptions of observations. From a psychological point of view, the fact that Diante reports having seen his brother in heaven is accordingly irrelevant to the psychological capacities required of a witness. Moreover, there appears to be an alternative explanation for what Diante says he saw. During our second interview, Diante distinguished between the "church with the judge" (the courthouse) and the "church with heaven" (a traditional church). He described seeing his brother in heaven and in

¹ An additional source of confusion regarding the reality of Spiderman arises because Diante has a teddy bear named Spiderman which does exist in the conventional sense. At times he answers questions regarding the existence of Spiderman with reference to this teddy bear rather than the fictional character.

the church with heaven and described there being a limo present. It was apparent from his description that when Diante spoke of seeing Jaquari in heaven, he was referring to a wake or funeral, at which he saw his brother's body – clearly an experience that would have made a strong impression on him. Interestingly, his pronunciation of the word "limo" was difficult for this evaluator to understand; I initially believed he was saying something like "lambo." This may have also occurred when Diante discussed seeing Jaquari during the court hearing. The transcript indicates that Diante used the word "rainbow" during that description, in a manner that seems odd given the context. It appears far more likely, given the context of his testimony and his statements during my interview, that Diante was actually saying "limo" at that time as well.

Diante's Capability to Understand the Duty of a Witness to Tell the Truth

- 17. Throughout the course of my examination, Diante demonstrated a good, ageappropriate capacity to differentiate truth from falsehood and to comprehend the meaning and seriousness of agreeing to tell the truth.
- 18. There is an extensive research literature about children's moral judgments, including their capacity to differentiate truth from untruth and to understand the moral issues involved in lying. Typically, children of Diante's age at the time of the incident think about these matters differently from adults. Adults tend to reason about moral issues by applying general moral principles to specific situations. For example, most moral adults are reluctant to steal in any particular situation because, as a general rule, they regard stealing in any situation as morally wrong. In contrast, children of Diante's age at the time of the episode typically conceptualize moral issues in terms of anticipated consequences. A child of this age will typically indicate that it is wrong to steal because he anticipates that he will be punished for it. On this basis, most

children of Diante's age can reliably distinguish right behavior from wrong behavior in their everyday lives. Children of this age generally well understand what it is to make a promise and that lying is wrong even if they cannot express this understanding in abstract terms.

19. Diante demonstrated such an understanding during his initial interviews with this psychiatrist. Specifically, Diante was presented with multiple hypothetical situations and asked to indicate whether a person would be telling the truth or not in a report of those situations. Diante was uniformly able to make the distinction correctly. He was also asked in a variety of ways about the consequences of telling the truth or lying. Repeatedly, he indicated that he anticipated punishment would result from lying and approval would result from telling the truth. Thus Diante has the capacity to differentiate truth from falsity and to understand the duty of a witness to tell the truth.

Other Findings With Respect to Diante's Competency

20. Although Diante was a developing child at the time of the initial interviews, it is more likely than not that the capacities relevant to his competence to testify are the same at the time of those interviews as they were at the time of the court's hearing on this matter in October of 2005. This is because the time that elapsed between that hearing and the interviews was short and, according to the child development literature, it is unlikely for Diante to have experienced a dramatic shift in these capacities during such a brief interval. Furthermore, as mentioned above, any apparent differences in these capacities as manifested during the hearing and as manifested during my evaluation can best be explained by differences in the method of questioning and the situation of the interview.

- 21. The unfamiliarity of the courtroom situation, the imposing nature of its physical arrangements, the formality of courtroom discourse, the use of adult language unmodified to reflect the developmental level of the child witness, the presence of numerous people in the courtroom, as well as the intrinsic anxieties associated with being a witness, commonly contribute to children appearing to be less capable as witnesses when evaluated in a courtroom setting than they in fact are. For example, it was revealed during my evaluation that, upon observing the layout of the courtroom and possibly the solemnity of the proceedings, Diante believed himself to be in a church during the hearing.²
- 22. There is now and there was at the time of the initial hearings in this case an extensive research literature showing how courts may elicit the most accurate testimony from children. Such steps as making sure that the child can comprehend the questions asked of him, making clear to the child the role and function of the various individuals in the courtroom, encouraging follow-up questions to clarify the meaning of the child's ambiguous statements and providing as informal a courtroom atmosphere as is consistent with the requirements of due process, will all contribute to the child being able to give the most accurate testimony possible. For example, having the child testify on a video recording rather than in an open courtroom is useful. Having the judge in ordinary clothing rather that a robe will also reduce the child's extraneous anxiety. Providing ample time to the child to respond to questions and reminding the child to take his time in responding, monitoring all questions asked of the child to determine whether they are likely to be comprehensible to the child and reminding the child that it is

² Interestingly, Diante's recollection of the competency hearing was excellent; he spontaneously recalled a number of the questions that were asked of him and described accurately what transpired in a manner consistent with the court reporter's documentation of the hearing.

desirable that he say he does not understand the question when he does not, all improve children's reliability. From a psychological point of view, such accommodations in courtroom procedures are analogous to providing a translator to an individual who is not fluent in English. Finally, it is essential that a young child be prepared for his testimony by asking the child about the incident in advance of the testimony, in order to gain as full an understanding as possible about the language the child uses, his manner of describing events, the details on which he focuses in recalling events, and so forth. Only with that kind of understanding by the questioner can questions be asked of a young child yield effective and reliable testimony.

- 23. Consistent with the ordinary abilities of a child of his age, Diante was able to provide a chronology in the sense of being able to accurately describe the sequence in which events he observed occurred. Also consistent with the ordinary capacities of a child of his age at the time, he was not able to provide specific timeframes in terms of hours and minutes or durations for the sequential chronology which he could provide. Although he was able to describe events he observed, like most children of this age, his description of these events could, and did, become confused when he was questioned in a manner that involved questions that interrupted the narrative sequence of his own spontaneous and orderly description. The manner in which Diante was questioned concerning the sequence of events was an improper way to question a young child and rather than eliciting accurate chronological testimony simply demonstrated that a young child can be confused by inappropriate questioning.
- 24. As would be true for virtually all children of his age at the time of his brother's death, Diante was not able to determine or recognize the actual moment of his brother's death, as a child that age would not know the bodily indications that his brother had died. Definitive determination of time of death requires special medical expertise even for an adult. The signs of

death such as loss of pulse, cessation of breathing, absence of responsiveness would not be familiar to a child of Diante's age at the time of the death and would more likely than not remain unnoticed by him, much less interpreted with regard to their significance.

25. Like children of his age at the time of his brother's death, Diante was incapable of recognizing the full seriousness of the emergent situation that led to his brother's death at that time.

Conclusion

- 26. It is this evaluator's professional opinion to a reasonable degree of psychiatric certainty that from a psychiatric point of view Diante Dancy was, in the days following his brother's death, at the time of his mother's trial, and at the time of his interviews with me, capable of expressing himself concerning the events surrounding his brother's death, and capable of understanding the duty to tell the truth about what he witnessed.
- 27. Notably, both my finding with respect to Diante's capacity to express himself concerning the events surrounding his brother's death and my finding with respect to Diante's capacity to understand the duty of a witness to tell the truth appear consistent with the findings of the Child Advocacy Center forensic interviewer who interviewed Diante the day after his brother's death, as memorialized in the police reports in this case. See May 15, 2005 Chicago Police Department General Progress Report, signed by Detective R. Wo.
- 28. As the only record of the CAC interview are Detective Wo's notes, which are woefully deficient, the interviewers failed to follow procedures for forensic interviewing of children that were widely recognized as appropriate at the time of those interviews.
- 29. Diante's inability to describe the specific times at which events he observed occurred and the time intervals involved, his inability to determine the time or indications of his brother's

death, and his having become confused about the sequence of events when questioned in a confusing manner all indicate only that he was functioning as a normal child of his age. They indicate neither that he was unable to accurately recount what he saw with respect to his brother's death, nor that he failed to possess the capacity to tell the truth and to appreciate the importance of being honest in the context of the investigation and the legal proceedings and they do not undermine his observations that he witnessed his brother wrap the elastic band around his neck causing his own death.

I reserve the right to amend or supplement this report if further information is provided.

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

Robert M. Galatzer-Levy, M.S., M.D.

Exhibit A CURRICULUM VITAE

ROBERT M. GALATZER-LEVY, M.D.

Clinical Professor of Psychiatry and Behavioral Neuroscience, University of Chicago; Faculty, Chicago Institute for
Psychoanalysis
122 South Michigan Avenue
Suite 1407
Chicago, Illinois 60603

Education

Chicago Institute for Psychoanalysis (adult and child and adolescent) 1976-1982, Graduated, 1982

Fellow in Child Psychiatry, University of Chicago, 1973-1974

Resident in Psychiatry, University of Chicago, 1971-1974

Washington University School of Medicine, 1967-1971, M.D., 1971

New York University, Graduate School of Arts and Sciences, (Mathematics) 1965-1967, M.S., 1966

New York University, University College, 1961-1965, B.A., 1965

Bronx High School of Science, 1958-1961

Certification

American Psychoanalytic Association (adult, child and adolescent psychoanalysis) 1984 Licensed, State of Illinois, 1974 National Board of Medical Examiners, 1972

Professional Activities

Chemist, Marine Biological Laboratory, Woods Hole, Mass., 1967

Research Associate and Senior Research Associate, Physiology Course, Marine Biological Laboratory, 1968-1969

Private Practice of Child, Adolescent and Adult Psychiatry and Psychoanalysis, 1974-

Lecturer in Psychiatry, University of Chicago, 1974-

Attending Psychiatrist, Psychiatric and Psychosomatic Institute, Michael Reese Hospital, 1974-1993

Consultant, Illinois Department of Mental Health, Manteno Hospital, 1974-1975

Consultant, Skokie Public Schools Special Education 1975-1976

Faculty, Child and Adolescent Psychoanalytic Therapy Program, Chicago Institute for Psychoanalysis, 1978-

Instructor, Core Program, Chicago Institute for Psychoanalysis, 1984-1985

Faculty, Doctor of Psychotherapy Program, Chicago Institute for Psychoanalysis, 1984-1988

Psychoanalytic Education Faculty, Chicago Institute for Psychoanalysis 1985 -

Peer Reviewer American Psychiatric Association & Champus 1986-1990

Member, Board of Directors, Jewish Family and Community Services, 1988-1998

Principal, Analytic Consultants 1989 - 2010

Associate Supervising Analyst, Child and Adolescent, Chicago Institute for Psychoanalysis, 1990-1991

Training and Supervising Analyst, Chicago Institute for Psychoanalysis, 1991-

Child and Adolescent Supervising Analyst, Chicago Institute for Psychoanalysis, 1991-

Geographic Rule Child and Adolescent Supervising Analyst, Boston Psychoanalytic Institute, 1993 -

Systems Operator, The Psychoanalytic Virtual Bulletin Board, Research Division, Chicago Institute for Psychoanalysis, 1993 - 2001

Conference leader, "Psychoanalysis" on the Inter-psych service (An internet based discussion group), 1995 - Supervisor, Chicago Center for Psychoanalysis, 1995 -

Member, Ad Hoc Committee on International Psychoanalytical Association E-mail Discussion Forum, 1997 -

Faculty Appointment, Chicago Center for Psychoanalysis, 1984 - 2002 Member, Consultant Panel, 2003 New Directions Writing and Critical Thinking with a Psychoanalytic Perspective

Program, Washington Psychoanalytic Foundation

Clinical Professor of Psychiatry and Behavioral Neuroscience University of Chicago 2012 -

Chair of the Evaluation of Research and Results Subcommittee, International Psychoanalytic Association 2012-

Books

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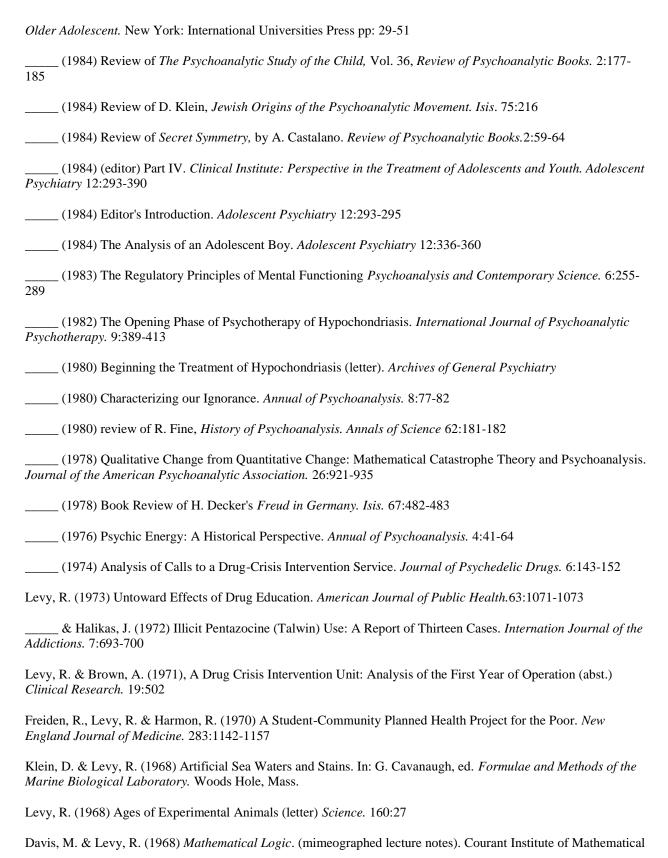
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Presentations and Discussions since January, 1987

The Impaired Therapist: Ethical Considerations, Contemporary Freudian Society. Washington DC September 21, 2014

Freud's non-response to the First World War, Panel on Untimely Thoughts on War and Death. Association for Psychoanalysis of Culture and Society. New Brunswick NJ October 18, 2014

Introduction of the Gertrude and Ernst Ticho Memorial Lecture. American Psychoanalytic Association. June 6, 2014.

Workshop Outcome of Child and Adolescent Psychoanalysis. June 7, 2014. American Psychoanalytic Association. June 7, 2014.

Workshop Psychoanalytic Aspect of Assisted Reproductive Technology. American Psychoanalytic Association. June 7, 2014

Closing Remarks, Joseph Sanderl Psychoanalytic Research Conference; Farnkfurt Am Main, Germany, March 2, 2014

"How the Concept of the Essential Other Came to Be" Plenary Address: The Essential Other Generativity, Resilience and Narrative., Frances W. Parker School. September 21, 2013

"The Edge of Chaos: A New Nonlinear View of Psychoanalytic Technique." 48th International Psychoanalytic Association Congress, Prague, Czech Republic, August 3, 2013

"Bertram Cohler on Method: The Chicago School Meets Herman the Newt." Bertam J. Cohler Memorial Conference. The University of 'Chicago. June 13, 2013.

Panel with Irwin Hoffman, Fred Levin, Frank Summers and Erika Schmidt, "What Kind of Science is Psychoanalysis." Presented at "Which Way Forward Psychoanalysis." Chicago Illinois May 19, 2013.

Panel with Pablo Ben, On Freud's Three Essays on Sexuality. Presented at "Which Way Forward Psychoanalysis." Chicago Illinois May 18, 2013.

The Historical Moment and the Analysis of Gay Men (with B. Cohler). Delivered at the American Psychoanalytic Association. January 16, 2013 (Recipient of the Ralph Roughton Prize.)

Bertram Cohler. Delivered at the American Psychoanalytic Association. January 15, 2013

Shocking Boundaries. Paper Delivered at the American Psychoanalytic Association. June 14, 2012

Creativity on a Dancing Landscape, Lecture, Division 39 (Psychoanalytic Psychology) April, 2012

Workshop on Nonlinear Dynamics and Creative. Washington Psychoanalytic Center. March 20, 2012.

The Non-linear Analyst: A Lecture in Honor of John Kafka. Washington Psychoanalytic Center. March 19, 2012.

The nonlinear clinician 21st Annual International Conference: The Society for Chaos Theory in Psychology and Life Sciences: August 4-6, 2011, Chapman University, Orange California USA; 2011; 1 p [Society for Chaos Theory in Psychology and Life Sciences].

"Ten Questions for Psychoanalysis in the 21st Century." Presidential Address, Chicago Psychoanalytic Society. June 23, 2009.

Presenter, Panel on "Outside the Frame: Applying Analytic Thinking in Other Settings." Conference, Doing Child and Adolescent Psychotherapy. Chicago Institute for Psychoanalysis. March 7, 2009.

"Money and Greed" paper presented as part of a panel "Theoretical and Clinical Perspectives on Money" Symposium 2009: Greed, Sex, Money, Power and Politics. New York February 21, 2009

"Emergence, Clinical Perspectives" in Panel, Emergence and Specificity in Therapeutic Efficacy. 30th Annual International Conference on the Psychology of the Self: Self and Systems. Los Angeles, California. October 11, 2007

Presenter Panel on the Gay Adolescent. American Psychoanalytic Association. June 7, 2007

Lecture, Beyond Irrationality: Greed, Up Close and Personal. Conference on Money and Greed: The Psychology of Economic Behavior. Chicago Institute for Psychoanalysis March 24, 2007.

Who Do I Love? How Do I Love? An Adolescent Boy's Multidimensional Struggle for Identity. Baltimore Washington Center for Psychoanalysis. March 4, 2006.

Good Vibrations: A New Model of Psychoanalytic Process Based in Chaos Theory and Non-Linear Dynamics. Atlanta Psychoanalytic Society November 11, 2005; Chicago Psychoanalytic Society November 23, 2005.

Transference-Countertransference: The Heart of Psychotherapy. The Center for Psychoanalytic Study and The Irving B. Harris Child Development Program, The Winter Scientific Conference, November 3, 2005.

Discussion of Stuart Michaels's paper, "Queer Counts: Homosexuality in Surveys from Kinsey to the Age of AIDS." Conference, "Sex in the City." September 24, 2005.

Discussion of Neil Altman's paper "Is Child Psychoanalysis Parent to Adult Psychoanalysis." Chicago Psychoanalytic Society. February 22, 2005

"When a Child Goes to Court: A Guide for Therapists." The Spring Scientific Conference 2004, The Center for Psychoanalytic Study and The Irving B. Harris Child Development Program, June 3, 2004.

"Children of Divorce: What Helps What Hurts." Adult Education Program, KAM- Isaiah-Israel May 9, 2004.

"Good Vibrations: The Psychoanalytic Process and Coupled Oscillators." The William Alenson White Institute, New York, April 23, 2004.

"Children of Divorce — What Helps, What Hurts." Association of Child and Adolescent Psychotherapists Benefit for the Zetland-Littner Scholarship Fund April 18, 2004.

Discussant, "The Shadow of the Object Revisited: A System Approach to Irreconcilable Loss," George Fishman, M.D., Author, 92nd Annual Meeting, The American Psychoanalytic Association, Boston, Massachusetts, June 21, 2003

Presenter, "Children at Risk: Children with Traumatic Stress Disorder," Scientific Conference of The Center for Psychoanalytic Study and The Irving Harris Child Development Center, Chicago, Illinois, April 10, 2003

Lecturer, "Countertransference and Resistance in Play Therapy," Jewish Family & Community Service at their

Virginia Frank Child Development Center, Chicago, Illinois, February 20, 2003

Panel Member, Oregon State Bar 2002 Annual Conference, Family Law Section, "Parental Alienation Syndrome: What It Is; How to Use It; How to Defend Against It," Gleneden Beach, Oregon, November 15, 2002

Speaker, Chicago Bar Association's Matrimonial Lawyers Committee Seminar, "How to Handle Difficult Litigants (Clients and Attorneys)," Chicago, Illinois, October 9, 2002

Workshop Leader, Chicago Psychoanalytic Society in association with The Institute for Psychoanalysis, "Delinquents and Other Outlaws," Chicago, Illinois, October 5, 2002

Panel Member, Parent Resource Network, "The Game Has Changed," Glencoe PTA, Glencoe, Illinois, October 2, 2002

Panel Member, Division 39 Summer Meeting 2002, *Emergence*, "Non-Linear Dynamics and Psychodynamics: Applications to Psychotherapy Practice," American Psychological Association, Chicago, Illinois, August 22-25, 2002

Faculty Member, Forensic Forum Seminar, "Focus on Parental Alienation," Chicago Bar Association, Chicago, Illinois, February 2, 2002

Discussant of Barbara Fajardo, Ph.D.'s "The Therapeutic Alliance: Coupled Oscillators in Biological Synchrony," Chicago Psychoanalytic Society, Chicago, Illinois, September 25, 2001

The Role of Child Development in Child Custody Proceedings. Children's Summer Institute, Child-Centered Divorce: An Oxymoron? Loyola University Chicago, July 16, 2001

Developmental Issues in Children's Interviews II (Memory), presented at the course, Forensic Interviewing of Children (Forensic Forum), June 23, 2001

Discussant of Shelley Doctors, Ph.D.'s "Attachment–Individuation: I. Clinical Notes Toward a Reconsideration of 'Adolescent Turmoil," Chicago Psychoanalytic Society, Chicago, Illinois, May 22, 2001

The 26th Annual Sandor S. Feldman Lecture, "The Course of Gay & Lesbian Lives: Are We Better Informed Today?" The Psychoanalytic Society of Upstate New York, May 19, 2001

Money and the Therapeutic Relationship (audiotape), On Good Authority, May 3, 2001

"Outsider Identities," Chicago Society for Adolescent Psychiatry, Oak Brook, Illinois, April 20, 2001

Participant, Panel on the High-Conflict Custody Case . Abduction, Alienation and Abuse Allegations, American Bar Association Section on Family Law, Fall Continuing Legal Education Conference, Tampa, Florida, October 20, 2000

Discussion of Jonathan Lear's Paper - Privacy: A Virtue, presented at the International Psychoanalytic Association Conference on Confidentiality and Society: Psychotherapy, Ethics and the Law, Montreal, Canada, October 15, 2000

Research and Confidentiality in Psychoanalysis: A Dilemma, presented at the International Psychoanalytic Association Conference on Confidentiality and Society: Psychotherapy, Ethics and the Law, Montreal, Canada, October 13, 2000

Youth Violence and the Psychology of the Self, The Conference on Youth and Violence, Institute for Psychoanalysis, Chicago, Illinois May 13, 2000

Studying Analytic Process Empirically. 89th Annual Meeting, The American Psychoanalytic Association, Chicago, Illinois May 10, 2000

Aftermath of Violence. Post Traumatic Stress, Hadassah Mental Health Professionals, Highland Park, Illinois April 12, 2000

Unpacking the Child's Best Interest Concept, Chicago Bar Association, Matrimonial Law Division, Chicago, Illinois January 13, 2000

Peer Conflict Panel Discussion, Parent Resource Network of the Glencoe PTA, Glencoe, Illinois October 20, 1999

Competition, Social Status and Cruelty, Jordan Teacher Center Workshop, Evanston, Illinois October 5, 1999

The Scientific Basis of Child Custody Decisions Symposium, The Forensic Forum, Chicago, Illinois June 15, 1999

Religious Counseling and Secular Psychotherapy: Comparisons and Contrasts, St. Francis Hospital Clergy Institute, May 4, 1999

What Is Healthy Competition?, The Mental Health Association of Evanston and District 65, McGaw YMCA Child Care Center and the Youth & Family Committee, Evanston, Illinois, April 24, 1999

Presentation of the Concepts of Transference and Counter-Transference Issues, Center for Psychoanalytic Study's Scientific Conference dedicated to the Irving Harris Development Program, Chicago, Illinois, April 23, 1999

Created in Others' Eyes: The Social Construction of Adolescence, Chicago Society for Adolescent Psychiatry and Illinois Council of Child and Adolescent Psychiatry, Lake Geneva, Wisconsin, February 14, 1999; American Society of Adolescent Psychiatry. March 19, 1999

Chaotic Possibilities, Wisconsin Psychoanalytic Society, Milwaukee, Wisconsin, February 6, 1999

Examination and Cross Examination of Custody Evaluations, Chicago Bar Association, Matrimonial Law Division, November 23, 1998

Raising a Caring Child in an Affluent Society, Family Network, Highland Park, IL. November 12, 1998

Tips on Examination and Cross Examination of Custody Evaluators, Chicago Bar Association Matrimonial Law Committee, October 14, 1998

Edith Weigert Lecture: Chaos/Complexity Theory and Psychoanalysis. Forum on Psychiatry and the Humanities, Washington School of Psychiatry, Bethesda, Maryland, October 31, 1997

Chaotic Possibilities: Toward a New Model of Development, Chicago Psychoanalytic Society, October 28, 1997

Daubert and the Future of Behavioral Science Testimony. Standards for Behavioral Science Testimony in the Illinois Courtroom. Behavioral Science & Legal Forum. The Institute for Psychoanalysis, Chicago, Illinois, September 18, 1997

Grand Rounds: Does Psychoanalysis Work?, Northwestern University Medical School, Department of Psychiatry and Behavioral Sciences, Chicago, Illinois, March 13, 1996

A Deeper Hunger - Self Psychology, Religion, and the Practice of Psychotherapy in the 1990s. The Nature of Consolation in Judaism: Job and Nachman of Bratslav. The Center for Religion & Psychotherapy of Chicago, Chicago, Illinois, March 1-2, 1996

The School Romance: Psychodynamic Approaches to the Subjective Experience of School life, The Francis W. Parker School, Chicago, Illinois, December 1-2, 1995

Challenges of Parenting in the '90s, Josselyn Center for Mental Health, Northfield, Illinois, October 17, 1995

Panel Presentation: The Analysis of a Frightened Little Boy. American Psychoanalytic Association May 19, 1993. Also Cleveland Psychoanalytic Institute, April, 1995

Finding Our Way in Uncertainty: A Gay Man and a Straight Analyst Try to Talk About Sex, Masculinity and All That, Presented at Symposium, Shapes of Desire, Homosexuality and Psychoanalysis, The Western New England Psychoanalytic Society, Yale University, New Haven, Connecticut, April 22, 1995

Participant, Panel on the High-Conflict Custody Case. Abduction, Alienation and Abuse Allegations, at Western New England Symposium, Shapes of Desire, April 1995

How and Why *The Essential Other* Came to Be, delivered before the Graduate Student Association, Loyola School of Social Work, March 29, 1995

Why People Work: What Causes Decreased Efficiency and Productivity in the Workplace?, Employee Assistance Society of North America: Illinois Chapter, February 23, 1995

The Developmental Process of Aging, in *Enhancing our Work with Older Clients*. sponsored by The Center for Applied Gerontology, Council for Jewish Elderly and The Institute for Clinical Social Work, January, 1995

Discussant of E. Schwaber's *Analytic Listening*, Fall meeting, American Psychoanalytic Association, December 1994

Panelist, Family Law Update, Illinois Bar Association, December 9, 1994

Special Program for Psychiatry Residents, Psychology and Social Work Students: Superego Pathology in the Violent Adolescent. Presented at The American Psychiatric Association, Philadelphia, Pa. May 20, 1994

Panelist, The Psychodynamics of Men Reconsidered (Exploring the Meaning of Sex in the Analysis of the Gay Man.) The American Psychoanalytic Association. May, 1994

Recovered Memories of Trauma, a Psychoanalytic View, American Psychiatric Association, May 24, 1994

Discussion of T. Jacobs, "Psychoanalysis of the 'unanalyzable' patient." American Psychoanalytic Association. December, 1993

Researching the Efficacy of Child Psychoanalysis and Psychoanalytic Psychotherapy, Illinois Council of Child and Adolescent Psychiatry, Chicago, Illinois, June 9, 1993

Discussion of J. Weiss "The Loss of Repression." American Psychoanalytic Association. May 18, 1993

Address to the Matrimonial Law Committee, Chicago Bar Association: Stress Management for Matrimonial Lawyers: Attorney/Client Stress. April 14, 1993

Solace and Comfort: Aspects of Healing, To Life! - Congregations and Communities Facing Change, Interfaith Conference on Spirituality and Aging co-sponsored by Arthur Rubloff Institute for Jewish Living of Temple Sholom, Northwestern Memorial Hospital, Buehler Center on Aging, McGaw Medical Center of Northwestern University, American Association of Retired Persons, American Society on Aging Forum on Religion and Aging, Chicago, Illinois May 19, 1992

Clinical Issues in Panel Presentation: Dynamics of Young Adulthood. Illinois Society for Clinical Social Work Conference: Coming of Age: The Dynamics of Young Adulthood. Chicago, Il April 24, 1992

Panelist "Visitation: From Infancy through Adolescence - Problems and Pitfalls" Annual meeting American Academy of Matrimonial Lawyers. Chicago. October 30, 1991

Discussion on Sexuality and Self Psychology, "Master Class" 16th Annual Conference on the Psychology of the Self, October, 1991

Why People Work, Public Forum, Chicago Institute for Psychoanalysis, October, 1991

Course on Custody Evaluation (with J. Feinberg, J.D.) Consultation Service, Institute for Psychoanalysis May-June, 1991

Psychoanalysis and Chaos Theory: Prediction and Self Similarity, presented at the Research Meeting, Chicago Institute for Psychoanalysis, May 31, 1991

Radio appearance on WBEZ, the Mora Tapp Program in discussion of Jeffrey Masson's Final Analysis Nov. 30, 1990

The Combination of Psychoanalysis and Psychopharmacology. Panel Presentation, Illinois Psychiatric Society Nov. 17, 1990

Solace and Comfort in Rituals and Stories presented at Good Samaritan Hospital Nov. 11, 1990

Radio appearance on WGN, the Milt Rosenberg Show in discussion with Jeffrey Masson. Oct. 31, 1990

Adolescent Rage, Violence and the Endangered Self. Presented at The Canadian Association of Psychoanalytic Psychotherapists for Children Oct. 27, 1990

Antijudaism, Antisemitism and the Enfeebled Self. Presented at The American Jewish Committee's Leo Lichtenberg Institute for the Study of Anti-Semitism symposium, Toward a New Definition of Anti-Semitism. Sept. 9, 1990

University of Chicago Comprehensive Psychiatry Review: Psychoanalysis. February 28, 1990

Solace and the Ritual Process, presented at the 25th Anniversary, Center for Religion and Psychotherapy

Discussion of Barbara Fajardo's Paper: Development and Unanalyzablity. American Psychoanalytic Association. December 14, 1989

Nahman of Bratslav: Depression and Religious Creativity. Spertus College and Institute for Psychoanalysis Joint Conference on Psychoanalysis and Judaism, December 3, 1989

Dialogue with Paulina Kernberg on the Analysis of a Psychotic Child. Rush Presbyterian St. Luke's conference on Childhood Psychosis. December, 1989

What is an Analyst that He May Know a Mind and a Mind that an Analyst May Know It? Grand Rounds at Rush Presbyterian St. Luke's, November, 1989

Discussion of Bertram Cohler's Paper on "Psychoanalytic Study and Cultural Study." Chicago Psychoanalytic Society, September, 1989

"When You're Stupid and Things Don't Work." The Analysis of a Late Adolescent with a Learning Disability.

Presented at the First International Workshop on Educational Therapy. Chicago, August 26, 1989

Overview and Discussion - Panel on Psychoanalytic Case Reporting, American Psychoanalytic Association, May, 1989

Presidential Address. Adolescent Friendship, Chicago Society for Adolescent Psychiatry May 17, 1988, also presented at the University of Chicago Workshop on Psychoanalysis, March, 1989

The Development and Treatment of the Adolescent Self, Florida Society for Adolescent Psychiatry, March, 1989

The Adolescent's Selfobject World. Chicago Society for Adolescent Psychiatry, February, 1989

The Transition of Adolescence to Young Adulthood, a Shift in the Selfobject World - Presented at The Chicago Self Psychology Study Group September 1988

Toward a Mathematical Model of the Self. Conference on Mathematics and Psychoanalysis, New York, June 1988

Discussion of P. Barglow's Can Psychoanalytic Reconstructions be Validated? How? Chicago Psychoanalytic Society, January 1988

Discussion of J. Sashin's Toward a General Theory of Affects, American Psychoanalytic Association, New York, December 1987

Self Psychology and Self-Selfobject Development in Adult Life - Presented at the Tenth Annual Conference on Self Psychology, November 1987

Workshop on Baalei Tshuva and Conversion (with Tsvi Blanchard) Second Annual Conference on Psychoanalysis and Judaism, Chicago, November 1987

Clinical Dialogue with Marian Tolpin -Presented at the Tenth Annual Conference on Self Psychology, November 1987

The Transition of Adolescence to Young Adulthood, a Shift in the Selfobject World - Presented at the University of Chicago, Grand Rounds April 1987, The Minneapolis Society for Adolescent Psychiatry, October 1987

Case Presentation - An Adolescent Boy in Analysis - Association for Child Psychoanalysis, Key Biscayne, March, 1987

Recordings

The Robert Galatzer-Levy Collection. *On Good Authority*. Includes: The Assessment of Violent Adolescents; (with Nancy Murphy) Legal Issues in the Treatment of Domestic Violence; The Meaning of Money; Custody Evaluations.

Professional Associations

American Society of Trial Consultants, 2000 American Psychoanalytic Association (Active Member) 1986 Committee on Honorary Membership, 1999 - 2008
Chair 2001 - 2003
Election Oversight Committee, 1999 - 2011

Science Advisor, Executive Committee and Council – 2000 - 2006 Councilor at Large (nationally elected position) 1999- 2004 Committee on Scientific Affairs 1986 -

Subcommittee on Outcome studies 1988 - 1999

Chair 1995 - 2001

Subcommittee on Confidentiality 2004-

Chair 2004 -

Committee on Child and Adolescent Analysis of the Board of Professional Standards 1993 - 1998

Fund for Psychoanalytic Research 1992 - 2007

Co-Chair 1995 - 1998; Chair 1998-2000

Ad Hoc Committee to Study Certification 2002-2003

Task Force on Reorganization 2006-2007

Chair 2006-2007

Secretary (Nationally elected office) 2007 -2011

Immediate Past Secretary 2011 -

Joint Psychoanalyst Assistance Committee 2012 -

International Psychoanalytic Association

The Committee on Scientific Internet Facilitation, 1999 -

Member, Nominating Committee, 1997

The International Psychoanalytical Association Trust

Member, Research Advisory Board, 1997-

Chair, Program Committee, International Congress, Rio 2005-2007

Chair, Committee on Evaluation of Research Proposals, 2012 -

American Management Association 1989 -

American Psychology-Law Society, 1997 -

American Professional Society on the Abuse of Children 1993 -

Association of Child Psychoanalysis

Councilor, 1989 - 1993

Program Committee 1987 - 1991

Committee on Registry of Child Analysis Cases (Chairman) 1988 - 1992

British Society for the History of Science 1993 -

Chicago Psychoanalytic Society (Active member)

Secretary, 1991 – 1994

President-elect 2006-2007

President 2007-2009

Center for Advanced Psychoanalytic Studies (CAPS) 1991 -

Midwest Society of Professional Consultants

Psychoanalysis at Aspen

Corporation, The Marine Biological Laboratory

Chicago Society for Adolescent Psychiatry

Immediate Past President 1988 - 1989

President 1987 - 1988

President elect 1986 - 1987

Program Chairman 1982 - 1983

Secretary-Treasurer 1980 - 1982

Councillor, 1978 - 1980

Illinois Council of Child Psychiatry

American Association for Psychiatry and the Law

American Association for the Advancement of Science

American Association for Artificial Intelligence

American Society for Adolescent Psychiatry

Chairman Committee on Institutes 1983-1986,

Program Chairman, Committee on Institutes, 1981-1983

American Mathematical Association

Association of Family and Conciliation Courts 1999 -

Committee on Custody Evaluation 2000 -

Boards of Directors

Jewish Family and Community Service 1989 -1998 Consortium for Psychoanalytic Research 1988 - 1995 Fund for Psychoanalytic Research 1990 - 2007

Editorial Boards

Annual of Psychoanalysis 1987-1991 Annual of Psychoanalysis and Psychotherapy 1987-1990 International Journal of Psychoanalysis & International Review of Psychoanalysis 1989- 1991, 1997-2000 Journal of the American Psychoanalytic Association 1991 - 1994, 1997- 2000 Journal of Applied Psychoanalysis 1999 -Neuropsychoanalysis 1999-Progress in Self Psychology 1988 -

Awards

Joan Flemming Award for Excellence in Teaching, Candidates Association, Chicago Institute for Psychoanalysis, 1991

Distinguished Service Award, American Psychoanalytic Association, 2004

Distinguished Service Award, American Psychoanalytic Association, 2007

University of Chicago Department of Psychiatry and Behavioral Neuroscience, Instructor of the Year 2012

Directory Listings

The Best Doctors in America (1st, 2nd, 3rd and 4th Editions)

The Best Doctors in America: Midwest Region

Who's Who in the Midwest

Top Doctors: Chicago Metro Area (1st, 2nd and 3rd Editions)

American Men and Women of Science

Who's Who in American

Medical-Legal Experience

Dr. Galatzer-Levy has served as an expert witness or consultant in custody evaluation, termination of parental rights, juvenile and adult criminal, malpractice and personal injury litigation. He also has served as a litigation consultant, performed independent medical evaluation, and provided expert testimony before professional licensing and staff privilege committees.

Exhibit B

DCFS Reports Re: Nicole Harris in response to subpoena 10/26/15

Includes: Intake Summary, Person/Allegations/Relationship/

Protective Custody, Assessment, Notes and Not Applicable/

Waiver Request

General Progress Report-Death Investigation of Jaquari Dancy 05/15/05

Deposition of Alexandra Levi 12/10/15

Complaint Filed Requesting Jury Trial 06/12/14

Transcript of Randall Wo testimony at criminal trial 10/24/05

Deposition of Randall Wo 11/13/15

Diante Dancy deposition transcript

Transcript of Diante Dancy at competency hearing (PL Nicole Harris 7736-7762)

Nicole Harris deposition transcript

Sta-Von Dancy deposition transcript

Nicole Harris criminal trial transcript excerpts of testimony of Nicole Harris, Sta-Von Dancy,

Wanda Harris and Audrey Harris, and the court's hearing and ruling regarding Diante's

competency

Dr. Ryan R. Stevens affidavit (PL Nicole Harris 524-545)

Deposition of Nicole Harris 12/22/15

Court Decision, Harris v. Thompson, Court of Appeals 10/18/12

Seventh Circuit 2012

Transcript of Karen Wilson at competency hearing (PL Nicole Harris 7650-7657)

Karen Wilson deposition transcript